RolloverSystems®
Invested in Retirement

866.827.9608

Terminated Plan Services

www.rolloversystems.com

Plan & Participant Support »

» Plan Termination Assistance

- DOL approved communications with front end address scrub
- Monitored participant responses and transaction processing
- Fully-documented missing participant search
- Safe Harbor provisions with DOL compliant IRAs
- Objective and unbiased distribution options to participants

» Missing Participant Services

- DOL approved locator services to identify the most current address
- Initial outreach using Experian and National Change of Address to locate participants
- Second outreach via US Postal Certified Mail
- Final step includes communications through IRS letter forwarding services

Plan Termination Timeline »

» Week 1 (Set up)

- RSI obtains a signed plan sponsor agreement
- Plan distribution form provided to RSI
- Complete list of participants with account balances
- Notification to existing recordkeeper that RSI is performing terminated plan services

» Week 2 (Participant Notifications)

- RSI mails DOL compliant communications to plan participants
- Participant response deadline is established

» Week 3 (Participant Transactions)

- RSI monitors participant responses and facilitates requested transactions
- RSI retrieves undeliverable mail and identifies eligible missing participants

» Week 4 (Participant Rollover Processing)

- RSI establishes Safe Harbor IRAs for all located participants
- RSI retrieves undeliverable mail and identifies eligible missing participants

» Week 5-8 (Missing Participant Processing)

- Missing Participant Services concluded
- Non-responding participants are rolled into designated RSI Safe Harbor IRA

Detailed Reporting »

- Participant Detail Summary
- Advisor Referrals (if applicable)
- Missing Participant Reporting

Participant Fees »

- Missing Participant Fee - $30 per participant (upon returned mail)
- RSI IRA Annual Custodial - $34
- RSI IRA Distributions - $42

About RolloverSystems, LLC »

RolloverSystems, LLC (RSI) is America's only independent fully-integrated platform designed to manage and execute end-to-end terminated participant services for retirement plan sponsors. Founded in 2001, Charlotte based RSI works with more than 7,000 retirement plan clients, containing over 850,000 participants and processes $1B in participant transactions annually. Our team of licensed Retirement Center counselors provides assistance to terminated participants of all balance sizes with education, access to objective investment choices, and transaction facilitation. RSI also specializes in plan termination assistance, automatic rollover (ARO) and missing participant services.

Email RSI at sales@rolloversystems.com